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# Uncertain Supply Chain Management

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## Design of a supply chain to produce ethanol from one residuum and two coffee by-products

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#### CHRONICLE

#### ABSTRACT

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The present article exposes a model of Mixed Integer Linear Programming (MILP) as a support for strategic decision making, guided to the location of facilities in ethanol supply chains under a configuration of collection centers of raw material and production plants, directly impacting costs related to logistics and operations. The model is applied to the supply chain for the production of ethanol from two by-products and a coffee residue (pulp, mucilage, and stems, respectively) in Colombia. The results obtained by the model allow identifying locations for the corresponding links to the three types of biomass considered, and the flows of raw material between coffee producing departments and collection centers, from the latter to production plants, and from this, where the transformation of ethanol to mixing centers is generated.

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#### 1. Introduction

Recently, many studies have focused on the search for energetically efficient renewable energies to minimize the negative impact on energy security generated by the use of fossil fuels and the reduction of their reserves worldwide (Edenhofer et al., 2011). These sources of renewable energy must guarantee industrial growth and the strengthening of the world economy (Zapiain, 1972); being biofuels one of the most promising solutions.

Biofuels are classified into three categories according to the raw material used for their production. The first generation comes from raw materials with a high content of starch, sugars, and oils (Alejos & Calvo, 2015), which leads to an increased competition for land and water by using agricultural land for the direct cultivation of biofuels, deforestation and the rise in the price of food (Hernández & Hernández, 2008). The second generation makes use of lignocellulosic biomass from agricultural or forestry residues (González Merino & Castañeda Zavala, 2008) listed as one of the best alternatives by contributing to the reduction of land use due to its potential energy yield per hectare, not requiring additional arable land to those that are used for human consumption (Loera-Quezada & Olguín, 2010).

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Finally, micro and macro algae are raw materials for the production of third generation biofuels through the process of transesterification of the oils present in them (Martínez Restrepo, 2014); however, the high costs generated by having controlled environments, the genetic engineering, together with the production costs, means that this type of biofuel is at an incipient stage for commercial scale production (Ecopetrol, 2014).

The above presents second-generation biofuels as a good option. However, the characteristics of its raw material (lignocellulose) disadvantage its elaboration because it presents important technical difficulties, which increases the cost of production and commercialization (Serna et al., 2011), making the economic factor a limitation for its large-scale development. In this sense, the design of its supply chain is identified as a critical factor for the reduction of operating costs (No, P. T., 2002).

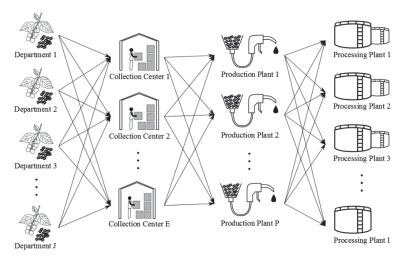
In the context of supply chain management, several works are identified, which are mainly focused on economic optimization, based on indicators such as: costs (Yue & You, 2014; Xie et.al., 2014; Emara et al., 2016; Osmani & Zhang, 2017; Parker et al., 2010), earnings (Osmani & Zhang, 2017; Bai et al., 2012), net present value (Kelloway et al., 2013), expected net present value (ENPV) formulated by Bagajewicz, conditional value at risk (CVaR), and financial risk (Dal-mas et al., 2011). The latter is analyzed from the different aspects that make up the operation of a supply chain for the generation of biofuels such as location, the capacity of facilities, and flows of raw material (López-Díaz et al., 2017; Sharifzadeh et al., 2015), technology for conversion (Leão et al., 2011; Kim et al., 2011), and aspects guided to transportation decisions (Mohseni et al., 2016; Marvin et al., 2012). The above does not ignore that the problem has been addressed from studies that have complemented the economic indicators, also consider environmental (Natarajan et al., 2014; Mirkouei et al., 2016), environmental and energetic (Zhang et al., 2012), and environmental and social aspects (Cambero & Sowlati, 2016). Regarding the type of biomass used, contributions to corresponding biofuels of the three generations are identified, being the most recorded biomasses in the search carried out as a case study: corn stubble, forest residues, and switchgrass; only the article exposed by (Duarte et al., 2014) is identified, which is closely related from the coincidence in the raw material of cut stems of coffee, and the context of the country, Colombia. On the other hand, coffee is one of the most important agricultural products in Colombia. The coffee sector is an essential contributor to GDP and a generator of employment in the agricultural industry in the country (26% of total agricultural employment). Therefore, it is considered as a real engine for the development of the rural economy and a "transcendental factor for sustaining a social fabric that contributes directly to peace and rural development, reducing poverty, and boosting production ..." (Lozano & Yoshida, 2008) The country, as of September 2017, had a "coffee park that exceeds 4,700 million trees distributed over more than 911,000 hectares in 600 municipalities" (Federación Nacional de Cafeteros, 2017). Nonetheless, coffee plants generate large volumes of organic residues. In fact, only 5% of the weight of the fresh fruit is used in the preparation of the coffee drink (Serna-Jiménez et al., 2018). Usually, coffee residues are thrown into streams, a fact that causes contamination of water sources, which leads to the death of aquatic species (Funes et al., 2011). The residues and by-products of coffee can be used as fuel in different ways including: as a direct fuel, biogas, biodiesel, and bioethanol (fuel alcohol); in the case of bioethanol, studies such as Triana et al. (2011), Navarro et al. (2017), Muñoz & Daniel (2015), Navia et al. (2011) and Gurram et al. (2015) have demonstrated and studied the process under which stems, mucilage, and fresh pulp can be raw material for the production of fuel alcohol.

In this way, the present article exposes the development of a Mixed Integer Linear Programming model (MILP) as a support for strategic decision making guided to the location of facilities in ethanol supply chains, under a configuration of centers of raw materials and production plants. The present model considers restrictions of availability of raw material, taking as a reference the model of location/assignment, Location-Allocation Problem (LAP), which is a combinatorial problem that consists of determining the position of k facilities on possible positions and assigning customers to the nearest facility (Torrent-Fontbona et al., 2013). The LAP is considered in the literature as a NP-hard problem (Zurita-Milla & Huisman, 2011), which requires a solution methodology that faces the

computational complexity, and results can be obtained in reasonable execution times. As a case study, the supply chain for the production of ethanol is taken from two by-products (pulp, mucilage), and a coffee residue (stems) in Colombia. This country has 1,102 municipalities, of which 600 are coffee producers (Federación Nacional de Cafeteros, 2017); being considered as the largest producer of soft washed Arabica coffee in the world, and which production grew 83% in the last four years (Federación Nacional de Cafeteros de Colombia, 2016).

## 2. Structure and development of the model

The development of the proposed model tends for a design of a supply chain for the production of ethanol from three second-generation raw materials, being these by-products and residues of coffee; the design is carried out according to the scheme shown in Fig. 1, in which the links that will make up the chain belonging to the pulp biomass are shown, for the case of mucilage and stems it will be the same, varying the subscripts used for each case (Table 1). The links considered for the supply chain are four: coffee producing departments, raw material collection centers, production plants, and mixing centers. Two (2) of these links already have a defined location, coffee-producing departments, and mixing centers, being the object of study and purpose of the model to be proposed, establishing the location of collection centers and production plants, as well as determining the flow between them once their location is defined.



**Fig. 1.** Scheme of the proposed supply chain.

**Table 1**Subscripts used in the model

Set	Description
j∈J	Set of departments suppliers of pulp biomass
$d \in D$	Set of departments suppliers of mucilage biomass
$f \in F$	Set of departments suppliers of stems biomass
u ∈ U	Set of location alternatives for mucilage collection centers
$l \in L$	Set of location alternatives for pulp collection centers
$z \in \mathbf{Z}$	Set of alternatives for zoca collection centers
$g\in G$	Set of mucilage collection centers
<i>e</i> ∈ E	Set of zoca collection centers
<i>o</i> ∈ 0	Set of pulp collection centers
$p \in P$	Set of location alternatives for pulp production plants
$n \in \mathbb{N}$	Set of location alternatives for mucilage production plants
<i>c</i> ∈ C	Set of location alternatives for zoca production plants
i ∈ I	Set of mucilage processing plants
$k \in K$	Set of zoca processing plants
$a \in A$	Set of pulp processing plants
$m\in M$	Set of available mixing centers
<i>w</i> ∈ W	Ethanol

The problem is formulated as a Mixed Integer Linear Programming model, taking as reference the parameters shown in Table 2 for the establishment of the optimal values for the decision variables indicated in Table 3.

**Table 2** Parameters

Symbol	Description	Unit
CBDG <sub>dgu</sub>	Cost of mucilage transport from department $d$ to collection center $g$ located in region $u$	\$/ton
CBJO <sub>bjo</sub>	Cost of pulp transport from department $j$ to collection center $o$ located in region $l$	\$/ton
$CBFE_{fez}$	Cost of zoca transport from department $f$ to collection center $e$ located in region $z$	\$/ton
$CBGI_{guin}$	Cost of mucilage transport from collection center $g$ located in region $u$ to the production plant $i$ located in region $n$	\$/ton
CBOA <sub>olap</sub>	Cost of pulp transport from collection center $o$ located in region $l$ to the production plant $a$ located in region $p$	\$/ton
$CBEK_{ezkc}$	Cost of zoca transport from the collection center $e$ located in region $z$ to the production plant $k$ located in region $c$	\$/ton
CEIM <sub>inm</sub>	Cost of ethanol transport from the production plant $i$ located in region $n$ to the mixing center $m$	\$/Lt
CEAM <sub>apm</sub>	Cost of ethanol transport from the production plant $a$ located in region $p$ to the mixing center $m$	\$/Lt
$CEKM_{kcm}$	Cost of ethanol transport from the production plant $k$ located in region $c$ to the mixing center $m$	\$/Lt
$TPP_{w}$	Ethanol production rate from pulp	Lt/ton
$TPM_{w}$	Ethanol production rate from mucilage	Lt/ton
$TPZ_{w}$	Ethanol production rate from stems	Lt/ton
$CBP_{j}$	Cost of pulp raw material in region <b>j</b>	\$/ton
CBM <sub>d</sub>	Cost of mucilage raw material in region d	\$/ton
$CBZ_f$	Cost of stems raw material in region <b>f</b>	\$/ton
$QP_{j}$	Amount of pulp available in department <b>j</b>	Ton/mes
$QM_d$	Amount of mucilage available in department <b>d</b>	Ton/mes
$QZ_f$	Amount of stems available in department <b>f</b>	Ton/mes
CFVO <sub>ol</sub>	Fixed and variable costs of opening of collection center $\boldsymbol{o}$ located in region $\boldsymbol{l}$	\$
CFVE <sub>ez</sub>	Fixed and variable costs of opening of collection center <i>e</i> located in region <i>z</i>	\$
$CFVG_{gu}$	Fixed and variable costs of opening of collection center $\boldsymbol{g}$ located in region $\boldsymbol{u}$	\$
CFVA <sub>ap</sub>	Fixed and variable costs of opening of production plant $\boldsymbol{a}$ located in region $\boldsymbol{p}$	\$
$CFVK_{kc}$	Fixed and variable costs of opening of production plant $k$ located in region $c$	\$
CFVI <sub>in</sub>	Fixed and variable costs of opening of production plant $i$ located in region $n$	\$
$DM_{m}$	Ethanol demand by the mixing center <b>m</b>	Lt/mes
$QCA_{ap}$	Conversion capacity of the production plant $\boldsymbol{a}$ in the region $\boldsymbol{p}$	Lt/mes
$QCK_{kc}$	Conversion capacity of the production plant $k$	Lt/mes
$QCI_{in}$	Conversion capacity of the production plant <i>i</i>	Lt/mes
QAO <sub>ol</sub>	Storage capacity of the collection center $\boldsymbol{o}$ in the location $\boldsymbol{l}$	Ton/mes
$QAE_{ez}$	Storage capacity of the collection center $\boldsymbol{e}$ in the location $\boldsymbol{z}$	Ton/mes
$QAG_{gu}$	Storage capacity of the collection center $m{g}$ in the location $m{u}$	Ton/mes
$CDNS_{m}$	Unmet demand cost in the mixing center <b>m</b>	\$/Lt

**Table 3** Decision variables

Symbol	Description	Unit
YI <sub>in</sub>	1 if the production plant $\boldsymbol{i}$ is established at location $\boldsymbol{n}$ ; otherwise 0	[0,1]
$YK_{kc}$	1 if the production plant $k$ is established at location $c$ ; otherwise 0	[0,1]
YA <sub>ap</sub>	1 if the production plant $\boldsymbol{a}$ is established at location $\boldsymbol{p}$ ; otherwise 0	[0,1]
$YG_{gu}$	1 if the collection center $\boldsymbol{g}$ is established at location $\boldsymbol{u}$ ; otherwise 0	[0,1]
YE <sub>ez</sub>	1 if the collection center $e$ is established at location $z$ ; otherwise 0	[0,1]
$YO_{ol}$	1 if the collection center $\boldsymbol{o}$ is established at location $\boldsymbol{l}$ ; otherwise 0	[0,1]
$XJ_{jol}$	Amount of pulp transported from department $j$ to the collection center $o$ located in region $l$	Ton.
$XF_{fez}$	Amount of stems transported from department $f$ to the collection center $e$ located in region $z$	Ton.
$XD_{dgu}$	Amount of mucilage transported from department $d$ to the collection center $g$ located in region $u$	Ton.
$XBO_{olap}$	Amount of pulp sent from the collection center $o$ located in region $l$ to the production plant $a$ located in region $p$	Ton.
$XBG_{guin}$	Amount of mucilage sent from the collection center $g$ located in region $u$ to the production plant $i$ located in region $n$	Ton.
$XBE_{ezkc}$	Amount of stems sent from the collection center $e$ located in region $z$ to the production plant $k$ located in region $c$	Ton.
XEA <sub>apm</sub>	Amount of ethanol to be sent from the production plant $a$ located in region $p$ to the mixing center $m$	Lt
XEI <sub>inm</sub>	Amount of ethanol to be sent from the production plant $i$ located in region $n$ to the mixing center $m$	Lt
XEK <sub>kcm</sub>	Amount of ethanol to be sent from the production plant $k$ located in region $c$ to the mixing center $m$	Lt
$XBM_{inw}$	Total ethanol generated from mucilage by the plant $i$ located in region $n$	Lt
$XBP_{apw}$	Total ethanol generated from pulp by the plant $\boldsymbol{a}$ located in region $\boldsymbol{p}$	Lt
$XBZ_{kcw}$	Total ethanol generated from stems by the plant $k$ located in region $c$	Lt
XVIRT <sub>m</sub>	Slack variable to satisfy the demand restriction of the mixing center $m$	Lt

According to the information presented as a basis for the formulation of the model, each of its components is related in the following sections.

## 2.1 Objective function

This function is determined by the minimization of costs (eq. 1) by concept in the first measure of transport between the different links, as well as from the first to the third component of multiplication obey said cost between suppliers and collection centers, the following three to transport between collection centers and production plants, and from the seventh to the ninth component to the transfer of ethanol to the respective mixing centers. The other costs involved correspond to fixed and variable costs due to the opening of both collection centers (component 10 to 12), and production plants (component 13 to 15); finally, a penalty for unmet demand is considered.

$$\begin{aligned} & \textit{Min ZZ} = \sum_{d \in \mathcal{D}} \sum_{g \in \mathcal{G}} \sum_{u \in \mathcal{U}} CBDG_{dgu} * Xd_{dgu} + \sum_{j \in \mathcal{I}} \sum_{o \in \mathcal{O}} \sum_{l \in \mathcal{L}} CBJO_{jol} * XJ_{jol} \\ & + \sum_{f \in \mathcal{F}} \sum_{e \in \mathcal{E}} \sum_{z \in \mathcal{Z}} CBFE_{fez} * XF_{fez} + \sum_{g \in \mathcal{G}} \sum_{u \in \mathcal{U}} \sum_{i \in \mathcal{I}} \sum_{n \in \mathcal{N}} CBGI_{guin} * XBG_{guin} \\ & + \sum_{o \in \mathcal{O}} \sum_{l \in \mathcal{L}} \sum_{a \in \mathcal{A}} \sum_{p \in \mathcal{P}} CBOA_{olap} * XBO_{olap} \\ & + \sum_{e \in \mathcal{E}} \sum_{z \in \mathcal{Z}} \sum_{k \in \mathcal{K}} \sum_{c \in \mathcal{C}} CBEK_{ezkc} * XBE_{ezkc} + \sum_{i \in \mathcal{I}} \sum_{n \in \mathcal{N}} \sum_{m \in \mathcal{M}} CEIM_{inm} * XEI_{inm} \\ & + \sum_{a \in \mathcal{A}} \sum_{p \in \mathcal{P}} \sum_{m \in \mathcal{M}} CEAM_{apm} * XEA_{apm} + \sum_{k \in \mathcal{K}} \sum_{c \in \mathcal{C}} \sum_{m \in \mathcal{M}} CEKM_{kcm} * XEK_{kcm} \\ & + \sum_{o \in \mathcal{O}} \sum_{l \in \mathcal{L}} CFVO_{ol} * Yo_{ol} + \sum_{e \in \mathcal{E}} \sum_{z \in \mathcal{Z}} CFVE_{ez} * Ye_{ez} + \sum_{g \in \mathcal{G}} \sum_{u \in \mathcal{U}} CFVG_{gu} \\ & * YG_{gu} + \sum_{a \in \mathcal{A}} \sum_{p \in \mathcal{P}} CFVA_{ap} * Ya_{ap} + \sum_{k \in \mathcal{K}} \sum_{c \in \mathcal{C}} CFVK_{kc} * Yk_{kc} \\ & + \sum_{i \in \mathcal{I}} \sum_{n \in \mathcal{N}} CFVI_{in} * YI_{in} + \sum_{i \in \mathcal{I}} CDNS_{m} * XVIRT_{m} \end{aligned}$$

### 2.2 Model constraints

The eq. (2) - (37) presents the restrictions of the proposed model for link location effects. The first set of restrictions, eq. (2) - (11), ensures the non-negativity of the related variables.

$$XJ_{iol} \ge 0 \; ; \; \forall j \in J; \; \forall o \in O; \; \forall l \in L$$
 (2)

$$XF_{fez} \ge 0 \; ; \; \forall f \in F; \; \forall e \in E; \; \forall z \in Z$$
 (3)

$$XD_{dqu} \ge 0 \; ; \; \forall d \in D; \; \forall g \in G; \; \forall u \in U$$
 (4)

$$XBO_{olan} \ge 0 \; ; \; \forall o \in \mathbf{0}; \; \forall l \in \mathbf{L}; \; \forall a \in \mathbf{A}; \; \forall p \in \mathbf{P}$$
 (5)

$$XBG_{quin} \ge 0 \; ; \; \forall g \in G; \; \forall u \in U; \; \forall i \in I; \; \forall n \in \mathbb{N}$$
 (6)

$$XBE_{ezkc} \ge 0$$
;  $\forall e \in E$ ;  $\forall z \in Z$ ;  $\forall k \in K$ ;  $\forall c \in C$  (7)

$$XEA_{apm} \ge 0 \; ; \; \forall a \in A; \; \forall p \in P; \; \forall m \in M$$
 (8)

$$XEI_{inm} \ge 0 \; ; \; \forall i \in I; \; \forall n \in N; \; \forall m \in M$$
 (9)

$$XEK_{kcm} \ge 0 \; ; \; \forall k \in K; \; \forall c \in C; \; \forall m \in M$$
 (10)

$$XVIRT_m \ge 0 \; ; \; \forall m \in M$$
 (11)

The second block of equations is given by eq. (12) - (21), which are established for each of the three subjects considered in the model, and will be exemplified with those corresponding to the pulp. The restriction represented in the Eq. (12) ensures that the available biomass is collected.

$$\sum_{q \in Q} \sum_{l \in L} X J_{jql} = Q P_j \quad \forall j \in J$$
(12)

This biomass collected and sent, according to its type, to the collection center and subsequently to the corresponding production plant, cannot exceed the storage capacity of these links for each of the raw materials, as restricted by the Eqs. (13-14) respectively.

$$\sum_{j \in I} XJ_{jol} \le QAO_o * YO_{ol} \quad \forall o \in O; \ \forall l \in L$$
(13)

$$\sum_{w \in W} XBP_{apw} \le QCA_{ap} * YA_{ap} \forall a \in A; \forall p \in P$$
(14)

Regarding the collection centers, the Eq. (15) determines that each of these, for the raw materials considered, are unique; therefore, they may be established in only one of the given location alternatives. Likewise, in order to have a higher coverage, it is determined that of the group of possible collection centers of each of the raw materials, each one of these should be opened in alternatives of different location, that is, there cannot be two collection centers for the same raw material in the same location (Eq. 16).

$$\sum_{l \in \mathbf{I}} Y \mathbf{O}_{ol} = \mathbf{1} \quad \forall o \in \mathbf{0}$$
 (15)

$$\sum_{o \in 0} YO_{ol} \le 1 \quad \forall l \in L \tag{16}$$

The Eq. (17) works as a transshipment, determining that the amount of raw material sent from each collection center to the production plants must be equal to the quantity received by each collection center from the different biomass suppliers departments. In the same way that happens with ethanol (product of the conversion of biomass) generated by each of the production plants, it must be sent in its entirety to the respective mixing centers, as defined by Eq. (18).

$$\sum_{a \in A} \sum_{p \in P} XBO_{olap} = \sum_{j \in J} XJ_{jol} \quad \forall o \in O; \ \forall l \in L$$
(17)

$$\sum_{m \in M} XAE_{apm} = XBP_{apw} \quad \forall a \in A; \ \forall p \in P; \ \forall w \in W$$
(18)

Each of the components of the set of production plants of the raw materials considered can be located in only one of the location alternatives. That is, a specific production plant cannot be assigned in more than one location, as expressed by Eq. (19). In addition, the Eq. (20) establishes that in each of the location alternatives for these links can be established a maximum of one, for certain raw material.

$$\sum_{p \in P} Y A_{ap} = 1 \quad \forall a \in A \tag{19}$$

$$\sum_{q \in A} Y A_{qp} \le 1 \quad \forall p \in P \tag{20}$$

Once each of the biomasses is in their respective production plants, the amount that enters is multiplied by the conversion rate, being the product equivalent to the total ethanol generated; said transformation is represented by the Eq. (21).

$$XBP_{apw} = \left[\sum_{o \in O} \sum_{l \in L} XBO_{olap}\right] * TPP_{w} \quad \forall a \in A; \ \forall p \in P; \ \forall w \in W$$
(21)

The Eq. (22) indicates that what is sent from the production plants to the mixing centers, responds to a demand that is generated in each one of these. A virtual variable is added in this restriction so that it takes the value of the remaining or missing ethanol with respect to the demand.

$$\sum_{a \in A} \sum_{n \in P} XEA_{apm} + \sum_{i \in I} \sum_{n \in N} XEI_{inm} + \sum_{k \in K} \sum_{c \in C} XEK_{kcm} + XVIRT_m = DM_m \quad \forall m \in M$$
(22)

Finally, the set of equations Eqs. (23-37) are established to avoid nonlinearity in the model, taking as a reference the variables that represent the flows generated between different links, using the Big M method.

$$XJ_{jol} \le M * YO_{ol} \quad \forall j \in J; \forall o \in O; \forall l \in L$$
 (23)

$$XBO_{olap} \le M * YO_{ol} \quad \forall o \in O; \forall l \in L; \forall a \in A; \forall p \in P$$
 (24)

$$XBO_{olap} \le M * YA_{ap} \quad \forall o \in \mathbf{O}; \forall l \in \mathbf{L}; \forall a \in \mathbf{A}; \forall p \in \mathbf{P}$$
 (25)

$$XBP_{apw} \le M * YA_{ap} \quad \forall a \in A; \forall p \in P; \forall w \in W$$
 (26)

$$XEA_{apm} \le M * YA_{ap} \quad \forall a \in A; \forall p \in P; \forall m \in M$$
 (27)

$$XD_{dgu} \le M * YG_{gu} \quad \forall d \in D; \forall g \in G; \forall u \in U$$
 (28)

$$XBG_{guin} \le M * YG_{gu} \quad \forall g \in G; \forall u \in U; \forall i \in I; \forall n \in \mathbb{N}$$
 (29)

$$XBG_{guin} \le M * YI_{in} \quad \forall g \in G; \forall u \in U; \forall i \in I; \forall n \in N$$
 (30)

$$XBM_{inz} \le M * YI_{in} \quad \forall i \in I; \forall n \in N; \forall z \in Z$$
 (31)

$$XEI_{inm} \le M * YI_{in} \quad \forall i \in I; \forall n \in \mathbb{N}; \forall m \in \mathbb{M}$$
(32)

$$XF_{fez} \le M * YE_{ez} \quad \forall f \in F; \forall e \in E; \forall z \in Z$$
 (33)

$$XBE_{ezkc} \le M * YE_{ez} \quad \forall e \in E; \forall z \in Z; \forall k \in K; \forall c \in C$$
 (34)

$$XBE_{ezkc} \le M * YK_{kc} \quad \forall e \in E; \forall z \in Z; \forall k \in K; \forall c \in C$$
 (35)

$$XEK_{kcm} \le M * YK_{kc} \quad \forall k \in K; \forall c \in C; \forall m \in M$$
 (36)

$$XBZ_{kcw} \le M * YK_{kc} \quad \forall k \in K; \forall c \in C; \forall w \in W$$
 (37)

Under the above restrictions, the location for collection centers of the three raw materials considered is determined, as well as their respective production plants. Thus, with this information, it is proceeded to determine the flows that are generated between the links, for which the model already exposed is taken as a base, with the opening binary variables and the sub-indices of location alternatives being eliminated, therefore, the components of the objective function and restrictions that refer to these aspects.

## 3. Case study

### 3.1. Determination of elements of the sub-index sets

The model is applied in Colombia, taking as a database for the possibilities of the corresponding link to coffee producing departments, those presented by (Federación Nacional de Cafeteros de Colombia, 2016) and representing a percentage equal or greater than 3% of cultivated coffee area; thus, the 13 selected departments represent 95% of the cultivated area in this country. In order to reduce the computational complexity of the mathematical model, they are grouped into 3 conglomerates (zones), and so, define a smaller number of location alternatives for collection centers and production plants. Through the implementation of the k-means algorithm (MacQueen, 1967), fed with the coordinates of the cities of the departments under study, which also determines the centroid or midpoint for each of the zones. These points are taken as alternative locations for collection centers, by geographical criteria and distance terms. Next, the generated zones are exposed, and the centroid of each of these is highlighted:

Zone 1: Cesar, Norte de Santander, Santander.

Zone 2: Antioquia, Caldas, Cundinamarca, Quindío, Risaralda, Tolima.

#### Zone 3: Cauca, Huila, Nariño, Valle.

After analyzing that in zone 1, the raw material produced by 11% of thousands of hectares cultivated in the country would be collected, while from zones 2 and 3 they would collect the corresponding to 47% and 37% respectively, an alternative is proposed additional to the midpoints for each of the last two named zones, the selection criterion being the volume of cultivated area. In this way, for zone 2, the reference point selected for production is Antioquia, and for zone 3 Huila.

Hence, the five departments taken as reference points (Norte de Santander, Tolima, Antioquia, Cauca, Huila) are those that are taken as alternatives in the mathematical model for the location of collection centers and production plants for the different raw materials. Likewise, factors that are considered (Duarte et al., 2013) as incidents in the location of facilities are analyzed, among which the agricultural capacity, the quality of the transportation infrastructure, the attitude of the community towards a project, the social impact of the region, the living conditions, and safety and criminality are named. This analysis is carried out based on information available in the document "Coffee Regional Competitiveness Index" (Lozano & Yoshida, 2008).

Regarding the link "mixing centers", in Colombia these are already established, also known as "wholesale distributors"; the first reference is the "List of agents of the fuel distribution chain" (Ministerio de minas y energía, 2012), from which the purposes of the present study were selected, specifically those dedicated to the ethanol mixture, enunciated in resolutions number 4-0717 of 2016, and 9-0153 of 2014 of MinMinas, in which wholesale supply plants of specific areas dedicated to make mixtures called "E-8" (8% fuel alcohol with 92% motor gasoline) are established.

According to the above, a list of 38 mixing centers is obtained, which are grouped according to the department where they are located, concluding a total of 16 departments; however, for the purposes of the parameters of the model, for each of these, the distance from the possible locations of production plants should be calculated, a process that was not possible using the tool used (Google maps), for the departments of Amazonas and Guainía, so that, for the purposes of this study, reference will be made to the 14 remaining departments.

What has been said so far regarding the determination of sub-index is summarized in Table 4, where reference is made to the set and description of these and to the specific elements that have been selected based on the reality represented by the selected country as a case study.

**Table 4** Equivalence of sub-index in the case study

Set	Description	Elements	
<i>b</i> ∈ B	Set of selected biomasses	[stems, mucilage, pulp]	
j∈J	Set of biomass supplier departments	[Antioquia, Caldas, Cauca, Cesar, Cundinamarca, Huila, Nariño, Norte de Santander, Quindío, Risaralda, Santander, Tolima, Valle]	
$u \in U$	Set of alternatives for the location of collection centers	[Norte de Santander, Tolima, Antioquia, Cauca, Huila]	
$p \in P$	Set of alternatives for the location of production plants	[Norte de Santander, Tolima, Antioquia, Cauca, Huila]	
<i>m</i> ∈ M	Set of available mixing centers	[Cundinamarca, Risaralda, Valle, Tolima, Huila, Caldas, Caquetá, Putumayo, Vichada, Guaviare, Bolívar, Atlántico, Santander, Antioquia, Sobrante]	

Source: The authors.

### 3.2. Determination of parameters

Regarding the calculation of transport costs between the different links, it is based on the "Table of minimum economic relations between the transport company and the owner of the linked vehicle" (Ministerio de transporte, 2007), in which the value per ton transported between certain origins and destinations is exposed, in the event that these points are not specified in the table, the fourth paragraph of the second article of resolution 3175 of August 1, 2008 states that the value to be paid per ton will be determined as the reference route the nearest origin that is in said table.

With this figure and the average distances between the departments and location alternatives for the collection centers, the average transport value of ton per kilometer is determined, which in turn is divided by the respective distance between links, leaving them described in units of \$/ton; this value is designated for transport costs corresponding to the stem raw material since it does not require any treatment; otherwise, the mucilage and the pulp must be under certain thermal conditions to avoid fermentation by natural means, so the transport cost of these biomasses is added an additional value for the concept of necessary refrigeration.

The costs related to the transportation of ethanol as a final product to the different mixing centers are calculated according to an average rate of those given by the Ministry of Mines and Energy (Ministerio de Minas y Energía, 2005), specific for the transport of alcohol fuel between distillers and plants of supply wholesalers.

Concerning the availability of raw material in the selected departments as alternatives of suppliers in the present project, a limitation is evident from the lack of information; therefore, the data that are taken for validation are calculated according to figures presented in thousands of hectares by department registered until September of 2015 by the FNC. The percentage of participation of each of the departments is calculated on the national production, which is uniformly distributed in the months that according to information presented by Asoexport (s.f.), are the periods of coffee harvest in the different departments of the Colombian territory; later, this is converted to its value in thousands of hectares that would be harvested per month in each of the departments. With this information, we go to the average productivity value of Colombian coffee, which exceeds 15.4 bags of 60 kilograms of green coffee per hectare, (Federación Nacional de Cafeteros de Colombia, 2016), and once having the figures in these units, it is proceeded to make use of calculations carried out by Rodríguez (2015), where they explain that for every million bags of green coffee produced, pulp and fresh mucilage are generated, 162,900 and 55,500 tons respectively, thus establishing the tons of mucilage and pulp generated by each of the departments in the different months of the year.

In the case of stems, the number of hectares per type of work (sowing renewal and zoca renewal) is available in each of the months of 2014 by the coffee producing departments (Federación Nacional de Cafeteros, 2015); in the same way, it is determined that in average per hectare, 16 tons are obtained, using this figure as a conversion factor to establish the total of tons of stems available in each of the departments selected as suppliers of raw material in each of the months.

In relation to the ethanol production rates, one ton of pulp yields 25.17 liters of ethanol and 58.37 liters of mucilage (Rodríguez, 2015). In the case of stems, a yield of 240 liters per ton is obtained (Triana et al., 2011). The raw materials considered in this study have not yet been commercialized; hence, they do not have an established cost for their sale, according to this, the value given for the ton of stems in the study is taken as reference for the present study (Duarte et al., 2014) because the geographic and social context taken in this is the same.

Respecting the demand for ethanol by each of the departments with mixing centers considered and exposed in Table 9, reference is made to the information concentrated in the "Balance of the Colombian sugar sector 2000 - 2016" (Fondo de Estabilización de precios del Azúcar (FEPA), 2016) where the production and sales to the national market are exposed for ethanol in thousands of liters. Taking into account that the final link considered in the present study are the mixing centers and that these must

supply the national demand for ethanol required, the thousands of liters sold to the national market in the months of 2015 are taken, since those of 2016 are subject to changes by the FEPA audit.

The capacities for both, collection centers and conversion plants, are calculated according to the maximum production per month that will have each of the types of raw material considered in the present investigation. Taking into account that the model takes as a maximum number to be established three, the production is divided among these; and with respect to the conversion plants that are available for each raw material, the maximum amount of ethanol produced per month is calculated, giving this value its maximum capacity.

Finally, the fixed and variable opening costs for both collection centers and production plants will not be introduced within the present validation; however, the parameter is left established in the model for future application cases. The foregoing is due to the fact that, in reviews of the current literature, no data is recorded that can serve as a reference for establishing the value of these parameters. Therefore, the installation decisions generated from the present validation respond to the minimization of costs for transport and value of raw material collected, in costs related to opening, the variable "zero" is taken as the value due to that there are not enough criteria to be established, or differentiated by zones; the other option is generated by assuming non-zero values that are the same for all alternatives, however, since there are no differentiating values, the opening decisions are the same as by assuming a value of zero.

## 4. Results and analysis

The proposed model, both for identification of locations and for the manager of flow optimization, was developed by means of the GAMS Software (General Algebraic Modeling System), version 21.2, executing the CPLEX algorithm for its solution, being good the computational times presented.

# 4.1. Identification of locations

This first model consists of 47 blocks of equations, 20 blocks of variables, 6535 non-zero elements, 1527 individual equations, 1151 individual variables and 60 discrete variables, which was executed for each of the months of the year (12 times), varying in each of these, the amount of raw material available in the departments selected as suppliers, and the quantity of ethanol demanded in this same unit of time in the departments that own the mixing centers (wholesale distributors). The above, in order to analyze the stability of the location of the collection centers and production plants with respect to the variations made; thus, the most stable location options before the climate dynamics that condition the crops and good practices in these, and the demand for ethanol in the different months of the year in Colombia, are inputs for the optimization of flows between the different links of the supply chain.

For purposes of the collection centers, it is proposed in the model that a maximum of three (3) are generated for each type of raw material, so that any of these can be opened according to the conditions that are generated. Table 5 shows a count of the times that the location alternatives are selected for this opening.

**Table 5**Counting collection center locations

Location -	Raw material			Total ananings
Location	Pulp	Mucilage	Stems	Total openings
Norte de Santander	2	1	0	3
Antioquia	5	4	5	14
Tolima	8	8	11	27
Cauca	4	7	9	20
Huila	6	5	4	15

It is evident that, for the three raw materials, the alternative of location with more openings in the different months is Tolima. For the case of mucilage and stems the second alternative to select is Cauca, and Huila for pulp, finding the numbers of months in which the opening is made with a significant difference compared to the other options; the situation is different for the selection of a third center of collection, because the difference in the number of months that is opened is not significant, so the total openings of the locations is taken as the second selection criterion because said value represents greater stability, so the third location for the collection center of mucilage and stems is Huila, and for the collection of pulp it will be Cauca. According to the above, the locations of the collection centers for each of the raw materials coincide in the departments of Tolima, Cauca and Huila.

In regard to the location of production plants, table 6 is presented, where a count is carried out that clearly allows identifying Tolima as the most optional department for the establishment of the ethanol production plant from the biomass corresponding to the pulp and stems, being the difference with the rest of options of location equal or superior to 3 months; nonetheless, for the case of mucilage, the location that the production plant must have for its treatment is not completely clear, which is why a second criterion equal to that of the selection of collection centers (selected location in a greater number of months in general) is considered, being the department of Tolima selected for the processing of the mucilage, and not Huila as it would be concluded by the information presented in Table 6 due to its little differentiation with respect to the rest of the departments, and the proximity presented with Tolima, which according to the second criterion is the one selected, being these neighboring departments.

**Table 6**Counting production plants locations

Logation	Raw material			Total months with ananings
Location	Pulp	Mucilage	Stems	- Total months with openings
Norte de Santander	2	0	0	2
Antioquia	1	2	2	5
Tolima	5	2	8	15
Cauca	1	3	1	5
Huila	2	4	1	7

According to the information presented, it is concluded that both collection centers and production plants would share locations. Cauca and Huila are the locations for the collection centers, in addition to Tolima, department that also appears as the best option for the establishment of production plants for the processing of the three biomasses, so it could be concluded that it is convenient the construction of a multi-plant for the production of ethanol from mucilage, pulp, and stems, taking into account that in the month of July, it would only process stems.

### 4.2. Flows optimization

It is carried out using the model initially proposed with the modifications referring to the elimination of location alternatives, and hence, open binary variables, consisting of 20 blocks of equations and 14 variables, 669 non-zero elements, 82 individual equations, and 199 different variables.

According to the flows, there are times of the year in which collection centers for certain biomass can be inactivated due to the little or no existing quantity of these in the coffee producing departments, linked to the period of the main harvest, "mitaca", crop renovations or implementation of good practices, as shown in Table 7.

**Table 7**Activity of collection centers by flows

Location	Biomass	Number of inactive months	Active Periods
•	Mucilage	2	March – June
			August - January
Tolima	Pulp	2	March – June
			August - January
	Stems	0	January – December
	Mucilage	5	NovFebruary
			April-June
Cauca	Pulp	5	NovFebruary
			April-June
	Stems	0	January – December
	Mucilage	6	April-June
			October – Dic.
Huila	Pulp	6	April-June
			October – Dic.
	Stems	8	January-March
			June

It can be evidenced that the collection centers of stems located in Tolima and Cauca are the only ones that must be enabled throughout the year for the reception of raw material, leading to the one located in Huila for the reception of this matter to be that of a minor activity in the year, against which decisions could be made due to the proximity it has with the department of Tolima.

On the other hand, collection centers for pulp and mucilage have the same activity, being logical to be both by-products of the production of coffee beans, namely dependent on the same source. Following the order of the flow of the raw material, the tons sent from the collection centers to production plants is the same as that received by each of the collection centers due to the restriction that makes them operate as a transshipment node, meaning that the only month in which the ethanol plants from mucilage and pulp will be completely inactive is July, since the production of the coffee bean for this month is null.

Lastly, according to the flows generated from the production plants to the mixing centers, it is evident that there are months with a surpass to the demanded amount of ethanol (January and May), which could be considered for export, or in its effect for the generation of reserves for the national market as there are months in which the production of ethanol does not fully meet the demand of the departments. Table 8 shows more precisely the 14 mixing centers selected for the validation of the model, how many of these are served in a complete (AC), partial (AP) or null (NA) manner, as well as the liters of fuel alcohol missing to meet the national demand in each of the months.

**Table 8** Attention to mixing centers

	Mixing centers			Missing Liters	Remaining Liters
	AC	AP	NA	(Millions)	(Millions)
January	14	-	-	-	16,9
February	11	1	2	5,08	-
March	8	1	5	9,93	-
April	11	1	2	3,95	-
May	14	-	-	-	3,38
June	10	1	3	2,50	-
July	5	1	8	22,0	-
August	6	1	7	15,4	-
September	5	1	8	24,3	-
October	6	1	7	15,4	-
November	4	1	9	18,0	-
December	4	-	10	27,0	-

#### 5. Conclusions

In the pre-modeling phase, it is established that the raw material selected for the present supply chain is highly striking for the yields generated in the production of fuel alcohol, especially the stems that represent a comparatively high yield with the by-products of coffee, in addition to requiring less care during the production process since the risk of fermentation by natural means is not generated with this residue; thence, it does not require refrigeration temperatures, being in turn the treatment of this less expensive. However, the characteristics established in previous studies, regarding the mucilage and the pulp, allow these to be considered within this research.

Under the approach taken in the present research based on the variability of availability of coffee by-products (pulp and mucilage), and the stems as residue that is generated, the facility location model allows to establish locations according to the selected reality as a case study, in computationally good times.

The validation of the performance of the model had two phases, the first where the locations for collection centers and production plants are established, and the second, the optimization of flows. In the first phase, it is concluded that the locations that favor the variability of availability of raw material is shared by the three types of biomass considered, this is how the locations for collection centers for both by-products and residue are the same (Tolima, Cauca, and Huila), and in the case of production plants, the three have to be in the department of Tolima, this location coinciding with the only article related directly and in the same Colombian context to the present investigation (Duarte et al., 2014).

#### Future works

As a topic for future research, it is proposed that due to the location generated for collection centers and production plants, costs are calculated as multipurpose locations, where the three types of raw material are received and processed.

On the other hand, in the present investigation, the periods of time (months) were analyzed separately; for this reason, it is proposed to generate a single model where all the months of the year are considered as a variable, and to make a comparison of the variation of results for both, location and flows.

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