

Integrating packing and distribution problems and optimization through mathematical programming

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ABSTRACT

This paper analyzes the integration of two combinatorial problems that frequently arise in production and distribution systems. One is the Bin Packing Problem (BPP) problem, which involves finding an ordering of some objects of different volumes to be packed into the minimal number of containers of the same or different size. An optimal solution to this NP-Hard problem can be approximated by means of meta-heuristic methods. On the other hand, we consider the Capacitated Vehicle Routing Problem with Time Windows (CVRPTW), which is a variant of the Travelling Salesman Problem (again a NP-Hard problem) with extra constraints. Here we model those two problems in a single framework and use an evolutionary meta-heuristics to solve them jointly. Furthermore, we use data from a real world company as a test-bed for the method introduced here.

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1. Introduction

This work studies a joint model integrating two classical combinatorial optimization problems: the Bin Packing Problem (BPP) (Ma et al., 2013) and the Capacitated Vehicle Routing Problem with Time Windows (CVRPTW) (Kok et al., 2010). These problems frequently arise in the operational planning of the distribution and transportation of goods (Mula et al., 2010). The usual way of addressing such problems is by applying mathematical programming methods. Since the decision variables are usually integer-valued the solutions space consists of orderings (subsets of natural numbers). But the complexity of finding solutions puts both BPP and CVRPTW in the NP-Hard class. This means that if a polynomial time algorithm for any of them could be found, it could help to solve in polynomial time any problem in the NP-complete class. This is why it is frequent to seek heuristic methods to find

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approximately optimal solutions. The combination of both problems involves, given a set of requests of several customers, vehicles and routes serving them in an efficient way. We have to determine what requests can be satisfied by the same vehicle in such a way as to use efficiently its capacity, reducing the costs of distribution. Before analyzing the joint problem we will briefly discuss each of the problems separately.

1.1 The Vehicle Routing Problem

Given a class of vehicles and two groups, one of customers and the other of depots, both distributed geographically, the Vehicle Routing Problem requires finding minimal cost routes starting and ending at the depots such the vehicles visit each customer at most once (Frutos & Tohmé, 2012; Escobaret al., 2014; Oyola & Løkketangen, 2014). The solution depends on several parameters, namely the size of the vehicle fleet, the degree of heterogeneity and capacity of the vehicles, the number of depots, the degree of randomness of the demand, the time windows of the service, etc. Each selection yields a different setting, of which the most basic one is the Traveling Salesman Problem (TSP), which provides a ground on which more complicated and practical instances can be stated (Theys et al., 2010). In the TSP a sole vehicle has to visit each customer on a single, minimal cost route. That is, the total distance covered by the vehicle should be minimal. The complexity of this problem stems from the fact that in a general and symmetric case with n customers the number of feasible routes is $(n-1)!/2$. It is easy to see that this problem belongs to the NP-complete class.

TSP can be extended to the multiple TSP (m-TSP), m salesmen have to cover a determinate number of cities, such that each one is visited by only one of the agents. Each route is a round trip starting on a given base-city. The goal here is minimize the total distance covered by the different vehicles. In turn, the Vehicle Routing Problem (VRP) generalizes m-TSP adding a customer-specific demand; geographically distributed depots and a vehicle-specific capacity (Kallehauge et al., 2006) (Fig. 1). With the additional requirement that the total demand on a route cannot exceed the capacity of the corresponding vehicle we get the Capacitated Vehicle Routing Problem (CVRP) (Frutos & Tohmé, 2012; Kao et al., 2013; Sitek, 2014).

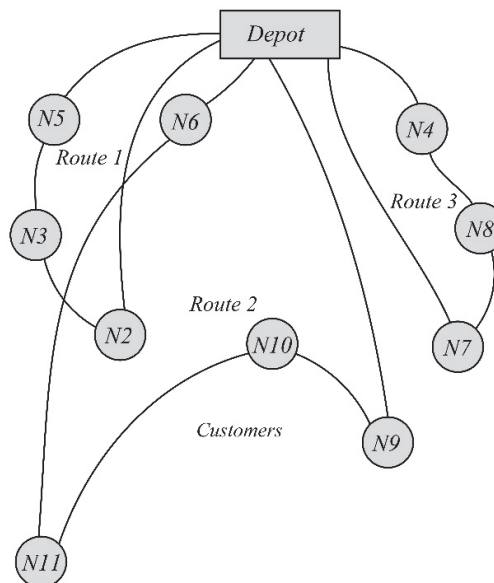


Fig. 1. Solution to a VRP problem with three vehicles and a single depot

In turn, CVRP with Time Windows (CVRPTW) is the CVRP with the added constraint that each customer has a time window for delivery (Bräysy, 2003). In the case of single depot, the CVRPTW requires to find m routes (one for each vehicle) such that: (i) each route starts and ends at the depot, (ii)

each customer is visited by just one vehicle, (iii) the total demand on a route does not exceed the capacity of the vehicle serving it, (iv) the departure and arrival times at the depot fall inside a given time window, and (v) the total cost (distance plus the penalties for violating the time windows of the customers) are minimal.

1.2 The Bin Packing Problem

The Bin Packing Problem (BPP) is that of packing a set of objects in several containers such that the total weight or volume does not surpass the capacity of the container, minimizing the number and the cost of use of containers (Baumgartner et al., 2011; Bennell et al., 2013). Many real world packing problems can be modeled as instances of the BPP, like the determination of the optimal amount to load in trucks and other vehicles (Lodi et al., 2012).

2. The Combined Problem

If capacity is measured only on a single dimension, the problem of loading and distributing cargo in a fleet of vehicles would be represented by CVRPTW (Bräysy, 2003). But real world goods have several features each of which corresponds to a dimension of capacity, e.g. weight; surface of the floor of the container; volume of the container; fragility (which determines if it can be piled) etc. (Iori et al., 2007). So, the goal is, on one hand, to optimally pack a bunch of elements in a set of containers with given dimensions. On the other, the packing must be done such that each vehicle serves the customers on a route. Separate optimal solutions to the packing and routing problems can yield a joint sub-optimal solution. Thus, it is convenient to integrate the problems in a single framework as to capture the interdependences among the problems (Fig. 2).

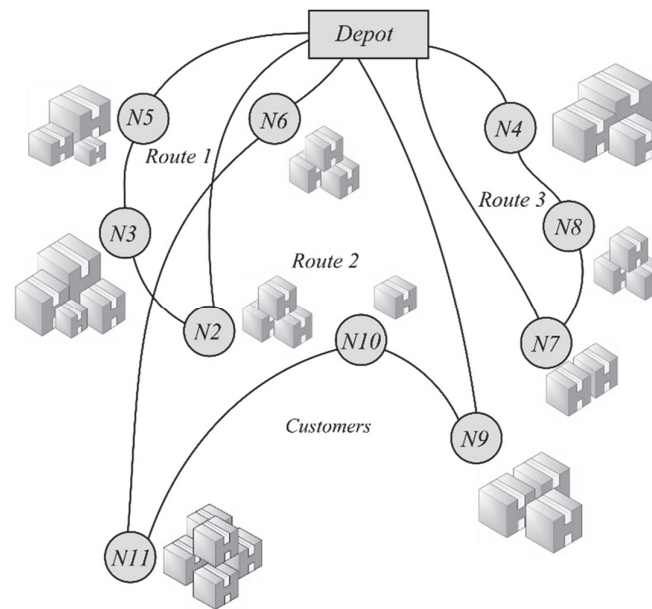


Fig. 2. Joint BPP and CVRP setting

2.1 Parameters of the Model

CVRPTW is defined on a graph $G = (V, A)$, where the class of nodes is $V = \{0, n+1\} \cup \{1, \dots, n\}$, where $\{0, n+1\}$ are two copies of the depot and $C = \{1, \dots, n\}$ represent the customers. $A = \{(i, j) : i, j \in V, i \neq j\}$ is the set of edges. Each $(i, j) \in A$ has a transportation cost c_{ij} and a travel time t_{ij} . The demand of customer

$i \in C$ is a class P_i of items with total weight q_i , to be satisfied by a vehicle in a service time s_i . Each item $h \in P$ has width f_h and height e_h , where $P = \sum_{i \in C} P_i$. Associated to each i there is a time window $[a_i, b_i]$ of delivery. A vehicle arriving before a_i has to wait until the time window opens, while if delivering after b_i is penalized by an amount c_i^L . For the deposit node we assume $q_{0,p} = q_{n+1,p} = s_0 = s_{n+1} = 0$. The time window for this node (being $a_0 = a_{n+1}, b_0 = b_{n+1}$) represents the overall departure and arrival time of the vehicles. The fleet consists of m identical vehicles of capacity Q (indexed by $K = \{1, \dots, m\}$). Each vehicle may cover at most one route and cannot split the deliveries to any customer, thus being forced to visit each customer only once. Each vehicle has a rectangular load space of width f^k and height e^k . Items have a fixed orientation and have to be packed in parallel to the borders of the floor of the vehicles. Furthermore, they cannot be rotated.

2.2 The Variables and Constraints of the Problem

The binary variable x_{ijk} has value 1 iff vehicle $k \in K$ goes through edge $(i, j) \in A$. Another binary variable y_{ik} is 1 iff customer $i \in V$ is visited by vehicle k (both binary variables are 0 otherwise). Temporal variable w_{ik} represents the moment in which vehicle k starts serving customer i . The decision variable u_i indicates the delay in the service to i . Variable v_{hk} is 1 if item h is loaded on vehicle k and 0 otherwise. Variable z_{hl} is 1 if items h and l are loaded together and 0 otherwise. To avoid superposition, o_{hl}^L will be 1 iff item l is laid on the left of h . On the other hand, o_{hl}^U will be 1 iff item l is below item h , to avoid vertical superposition. M are large constants. c_h^X and c_h^Y indicate the plane coordinates (x, y) of the lower left corner of item h . Finally, c_k^F is the cost of vehicle k . Then, expressions (1-26) represent the entire model:

$$\min \sum_{k \in K} c_k^F \cdot (1 - x_{0,n+1,k}) + \sum_{i \in V} \sum_{j \in V} c_{ij} \sum_{k \in K} x_{ijk} + \sum_{i \in V} c_i^L u_i \quad (1)$$

s.t.

$$\sum_{i \in V} \sum_{p \in P} q_{ip} y_{ik} \leq Q, \forall k \in K \quad (2)$$

$$\sum_{k \in K} y_{ik} = 1, \forall i \in C \quad (3)$$

$$\sum_{k \in K} y_{ik} = m, \forall i \in \{0\} \quad (4)$$

$$\sum_{i \in V} x_{ijk} = y_{jk}, \forall j \in V \setminus \{0\}, k \in K \quad (5)$$

$$\sum_{i \in V} x_{ijk} = y_{jk}, \forall i \in V \setminus \{n+1\}, k \in K \quad (6)$$

$$w_{ik} + s_i + t_{ij} \leq w_{jk} + M(1 - x_{ijk}), \forall i, j \in V, k \in K \quad (7)$$

$$a_i \leq \sum_{k \in K} w_{ik} \leq b_i + u_i, \forall i \in C \quad (8)$$

$$a_i \leq w_{ik} \leq b_i + u_i, \forall k \in K, i \in \{0, n+1\} \quad (9)$$

$$x_{ijk} \in \{0, 1\}, \forall i, j \in V, k \in K \quad (10)$$

$$y_{ik} \in \{0, 1\}, \forall i \in V, k \in K \quad (11)$$

$$w_{ik} \geq 0, \forall i \in V, k \in K \quad (12)$$

$$u_i \geq 0, \forall i \in V \quad (13)$$

$$\sum_{k \in K} v_{hk} = 1, \forall h \in P \quad (14)$$

$$y_{ik} = v_{hk}, \forall k \in K, i \in C, h \in P_i \tag{15}$$

$$c_h^X + f_h^P \leq f^K, \forall h \in P \tag{16}$$

$$c_h^Y + e_h^P \leq e^K, \forall h \in P \tag{17}$$

$$c_h^X + f_h^P \leq c_l^X + f^k (2 - z_{hl} - o_{hl}^L), \forall h, l \in P \tag{18}$$

$$c_l^X + f_l^P \leq c_h^X + f^k (2 - z_{hl} - o_{hl}^L), \forall h, l \in P \tag{19}$$

$$c_h^Y + e_h^P \leq c_l^Y + e^k (2 - z_{hl} - o_{hl}^L), \forall h, l \in P \tag{20}$$

$$c_l^Y + e_l^P \leq c_h^Y + e^k (2 - z_{hl} - o_{hl}^L), \forall h, l \in P \tag{21}$$

$$\sum_{i \in C} y_{ik} \leq M(1 - x_{0,n+1,k}), \forall k \in K \tag{22}$$

$$o_{hl}^L + o_{lh}^L + o_{hl}^U + o_{lh}^U \geq 1, \forall h, l \in P \tag{23}$$

$$c_h^X, c_h^Y \geq 0, \forall h \in P \tag{24}$$

$$z_{hl}, o_{hl}^L, o_{hl}^U \in \{0, 1\}, \forall h, l \in P \tag{25}$$

$$v_{hk} \in \{0, 1\}, \forall h \in P, k \in K \tag{26}$$

Eq. (1) states the goal, namely the minimization of total cost. Eq. (2) is the per-vehicle capacity constraint. Eqs. (3-4) ensure that each customer is visited only once and the depot is used by all the vehicles. Flow conservation is ensured by Eqs. (5-6), while Eq. (7) warrants the satisfaction of time constraints. Expressions (8,9) enforce the time windows whose violations are penalized in the objective function. Eqs. (10-13) specify the domains of some variables. Constraints (14,15), combined with Eq. (3) indicate that all items have to be loaded in vehicles and that all items P_i corresponding to customer i must be consolidated in a single vehicle k . Eqs. (16-17) mandate that no items can be loaded as to exceed the perimeter constraints of the vehicles. In turn, constraints (18-21) ensure that no items can be superposed (instead of piled up) in vehicle k . Inequality (22) ensures that only vehicles in use can be loaded. Finally, Eqs. (23-26) impose conditions on the rest of the variables.

3. An Instance of the Problem and its Solution

To run the model on a real-world case we have chosen data from a fruit company in Rio Negro (Argentina) and its provision area in Buenos Aires. This company has a depot in the city (N_1) and 3 refrigerated vehicles with the same load capacity, intended to cater its customers in 10 marketplaces across Buenos Aires.

Table 1
Customer nodes

N_i	Time window		s_i	Coordinates	
	a_i	b_i		Lat.: X_i	Long.: Y_i
N_1	13:00 hs	1:00 hs	60 min	34°42'36.37"S	58°30'4.74"O
N_2	14:00 hs	21:00 hs	60 min	34°31'49.52"S	58°46'13.55"O
N_3	14:00 hs	21:00 hs	60 min	34°25'36.71"S	58°42'51.43"O
N_4	14:00 hs	21:00 hs	60 min	34°37'14.62"S	58°33'24.54"O
N_5	14:00 hs	21:00 hs	60 min	34°27'44.95"S	58°32'49.81"O
N_6	14:00 hs	21:00 hs	60 min	34°39'53.58"S	58°31'13.37"O
N_7	16:00 hs	23:00 hs	60 min	34°48'21.59"S	58°21'56.26"O
N_8	16:00 hs	23:00 hs	60 min	34°40'25.09"S	58°19'26.46"O
N_9	16:00 hs	23:00 hs	60 min	34°43'55.73"S	58°16'47.88"O
N_{10}	16:00 hs	23:00 hs	60 min	34°45'57.50"S	58°14'58.91"O
N_{11}	16:00 hs	23:00 hs	0 min	34°52'59.65"S	57°58'29.94"O

Table 1 specifies these markets (N_i , $i = 1, \dots, 11$, where N_1 is the depot) and their corresponding time windows are (a_i, b_i) , $i = 1, \dots, 11$. We also specify the servicing time s_i for each customer as well as its corresponding geographical position (Latitude: X_i , Longitude: Y_i). Table 2 specifies the transport costs c_{ij} while Table 3 the travel times t_{ij} , for each pair of customers (i, j) . As said, the fleet based on node N_1 has $m=3$ refrigerated vehicles, each one with a capacity $Q_k=26.000$ Kg. The rectangular loading space has dimensions $f^k = 2.5$ m and $e^k=13.5$ m (i.e. a floor surface of 33.75 m²). The demand of each customer N_i , $i = 2, \dots, 11$, consists of a number P_i of pallets of apples or pears. Each item $h \in P_i$ is a pallet of width $f_h=1.2$ m and length $e_h=1.0$. The weight of the demand of customer i is the sum of the weights of all the requested pallets.

Table 2

Transport costs (monetary units)

	N_1	N_2	N_3	N_4	N_5	N_6	N_7	N_8	N_9	N_{10}	N_{11}
N_1	0	1339	1300	400	894	195	671	983	889	1053	1817
N_2	1339	0	520	710	673	1170	1862	2072	1979	2194	2912
N_3	1300	520	0	1048	634	1131	1823	1979	1885	2049	2808
N_4	400	710	1048	0	653	229	920	1082	988	1152	1916
N_5	894	673	634	653	0	723	1414	1570	1477	1641	2405
N_6	195	1170	1131	229	723	0	715	1019	926	1092	1856
N_7	671	1862	1823	920	1414	715	0	616	348	369	1313
N_8	983	2072	1979	1082	1570	1019	616	0	268	432	1199
N_9	889	1979	1885	988	1477	926	348	268	0	127	1139
N_{10}	1053	2194	2049	1152	1641	1092	369	432	127	0	931
N_{11}	1817	2912	2808	1916	2405	1856	1313	1199	1139	931	0

The weight of an apple pallet is $h_{\text{apple}}=994.70$ Kg while the corresponding to a pear one is $h_{\text{pear}}=1.136.80$ Kg. Each customer formulates its demand according to the stocks available in the depot. A failure in delivering the demanded pallets means that the customer marketplace will be left out of stock. The trucks are loaded under a LIFO (last in first out) policy and the optimization goal is to load the vehicles such that they are able to deliver all the demanded pallets to the customers on their corresponding routes. Fig. 3 shows the graph of the problem. It has 11 nodes and 110 edges.

Table 3

Travel times (minutes)

	N_1	N_2	N_3	N_4	N_5	N_6	N_7	N_8	N_9	N_{10}	N_{11}
N_1	0	134	112	50	84	25	103	84	100	126	134
N_2	134	0	75	126	95	126	221	182	198	224	232
N_3	112	75	0	106	72	103	198	162	179	204	212
N_4	50	126	106	0	81	42	137	100	117	142	151
N_5	84	95	72	81	0	75	170	134	151	176	184
N_6	25	126	103	42	75	0	112	92	109	131	140
N_7	103	221	198	137	170	112	0	117	81	72	156
N_8	84	182	162	100	134	92	117	0	53	78	89
N_9	100	198	179	117	151	109	81	53	0	36	100
N_{10}	126	224	204	142	176	131	72	78	36	0	98
N_{11}	134	232	212	151	184	140	156	89	100	98	0

The problem is solved combining mathematical programming methods with a genetic algorithm (Goldberg, 1989). As it is well known, a genetic algorithm starts with a family of potential solutions and then by successive rounds of selection of the fittest on which mutation and crossover operators are applied it will tend to yield closer to the optimal outcomes. After some seasons of exploratory essays, we set the size of the population in 100, with 500 generations, and using as procedures *ranking* (for selection); *2-Point* (for crossover) with probability 0.85 and *2-Swap* (for mutation) with probability 0.01. The algorithm, programmed in MatLab, runs on an Intel Core 2 Duo processor 2,4 GHz. y RAM 4 Gb. Each run took less than 3 seg.

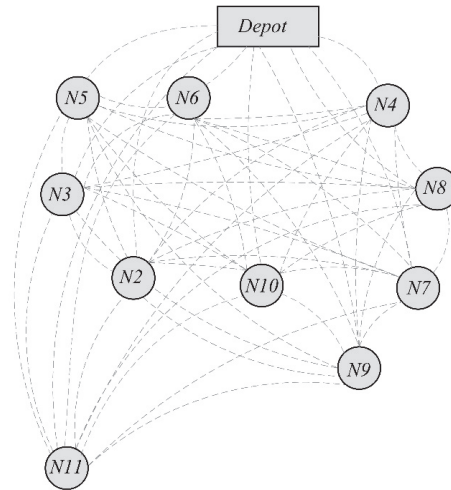


Fig. 3. Graph of the routing problem

4 Results

We considered the demands reported on Table 4, and compared the outcomes under our procedure to the real-world actions taken by the company.

Table 4

Demands

Customers	N ^o	h _{apple}		N ^o	h _{pear}		Time window	
		m ²	Kg		m ²	Kg	a _i	b _i
N ₁	-	-	-	-	-	-	20:00 hs	4:00 hs
N ₂	3	3,6	2.984	2	2,4	2.274	14:00 hs	21:00 hs
N ₃	4	4,8	3.979	2	2,4	2.274	14:00 hs	21:00 hs
N ₄	8	9,6	7.958	7	8,4	7.958	14:00 hs	21:00 hs
N ₅	7	8,4	6.963	5	6	5.684	14:00 hs	21:00 hs
N ₆	3	3,6	2.984	2	2,4	2.274	14:00 hs	21:00 hs
N ₇	3	3,6	2.984	1	1,2	1.137	16:00 hs	23:00 hs
N ₈	3	3,6	2.984	2	2,4	2.274	16:00 hs	23:00 hs
N ₉	2	2,4	1.989	1	1,2	1.137	16:00 hs	23:00 hs
N ₁₀	3	3,6	2.984	1	1,2	1.137	16:00 hs	23:00 hs
N ₁₁	5	6,0	4.974	4	4,8	4.547	16:00 hs	23:00 hs

In the real world, the company underused the vehicles: in average each truck was loaded only up to a 70% of its capacity.

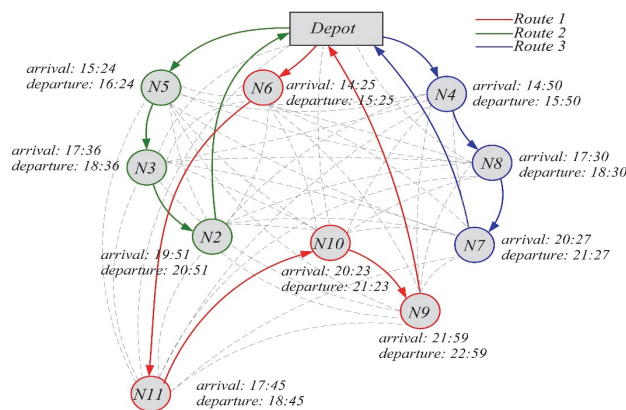


Fig. 4. Graph of the routing Schedule

Furthermore, to reach the time windows, they frequently rented an extra truck, increasing the overall costs of the operation. In other cases the company just missed the deadlines, having thus to pay penalties. Our integrated method improved substantially these outcomes. Fig. 4 presents a directed graph summarizing the solution while Table 5 presents the solutions.

Table 5
Solutions

Routes	Nodes	Demand (Kg)	Demand (m ²)	N _i →N _j	Time Window			Cost
					Arrival	Service	Exit	
Ruta 1	N ₁	-	-	-	14:00	0	14:00	-
	N ₆	5.257	6,00	25	14:25	60	15:25	195
	N ₁₁	9.520	10,80	140	17:45	60	18:45	1.856
	N ₁₀	4.120	4,80	98	20:23	60	21:23	931
	N ₉	3.126	3,60	36	21:59	60	22:59	127
	N ₁	0	-	100	0:39	0	0:39	889
Totales		22.023	25,2	399	-	240	-	3.999
Ruta 2	N ₁	-	-	-	14:00	0	14:00	-
	N ₅	12.646	14,40	84	15:24	60	16:24	894
	N ₃	6.252	7,20	72	17:36	60	18:36	634
	N ₂	5.257	6,00	75	19:51	60	20:51	520
	N ₁	0	-	134	23:05	0	23:05	1.339
	Totales		24.155	27,6	365	-	180	-
Ruta 3	N ₁	-	-	-	14:00	0	14:00	-
	N ₄	15.915	18,00	50	14:50	60	15:50	400
	N ₈	5.257	6,00	100	17:30	60	18:30	1.082
	N ₇	4.120	4,80	117	20:27	60	21:27	616
	N ₁	0	-	103	23:10	0	23:10	671
	Totales		25.292	28,8	370	-	180	-

The total daily cost is of 10.156 monetary units for this set of demands. The percentages of use of the vehicles are given in Table 6, but it is worth to note that 100% of the demands and time windows are satisfied. Table 7 shows the solution to the BPP part of the problem.

Table 6
Percentage of use of the vehicles

	% Use (Kg)	% Use (m ²)
Vehicle 1	85%	75%
Vehicle 2	93%	82%
Vehicle 3	97%	85%

Table 7
Solutions of the BPP

Vehicle 1		Vehicle 2		Vehicle 3	
N ₉ h _{pear}	N ₉ h _{apple}	N ₂ h _{pear}	N ₂ h _{pear}	N ₇ h _{pear}	N ₇ h _{apple}
N ₉ h _{apple}	N ₁₀ h _{pear}	N ₂ h _{apple}	N ₂ h _{apple}	N ₇ h _{apple}	N ₇ h _{apple}
N ₁₀ h _{apple}	N ₁₀ h _{apple}	N ₂ h _{apple}	N ₃ h _{pear}	N ₈ h _{pear}	N ₈ h _{pear}
N ₁₀ h _{apple}	N ₁₁ h _{pear}	N ₃ h _{pear}	N ₃ h _{apple}	N ₈ h _{apple}	N ₈ h _{apple}
N ₁₁ h _{pear}	N ₁₁ h _{pear}	N ₃ h _{apple}	N ₃ h _{apple}	N ₈ h _{apple}	N ₄ h _{pear}
N ₁₁ h _{pear}	N ₁₁ h _{apple}	N ₃ h _{apple}	N ₅ h _{pear}	N ₄ h _{pear}	N ₄ h _{pear}
N ₁₁ h _{apple}	N ₁₁ h _{apple}	N ₅ h _{pear}	N ₅ h _{pear}	N ₄ h _{pear}	N ₄ h _{pear}
N ₁₁ h _{apple}	N ₁₁ h _{apple}	N ₅ h _{pear}	N ₅ h _{pear}	N ₄ h _{pear}	N ₄ h _{pear}
N ₆ h _{pear}	N ₆ h _{pear}	N ₅ h _{pear}	N ₅ h _{pear}	N ₄ h _{apple}	N ₄ h _{apple}
N ₆ h _{apple}	N ₆ h _{apple}	N ₅ h _{apple}	N ₅ h _{apple}	N ₄ h _{apple}	N ₄ h _{apple}
N ₅ h _{apple}		N ₅ h _{apple}	N ₅ h _{apple}	N ₄ h _{apple}	N ₄ h _{apple}
		N ₅ h _{apple}	N ₅ h _{apple}	N ₄ h _{apple}	N ₄ h _{apple}
		N ₅ h _{apple}		N ₄ h _{apple}	N ₄ h _{apple}

5 Conclusions

We have presented an integrated method solving jointly the Bin Packing Problem (BPP) and the Capacitated Vehicle Routing Problem with Time Windows (CVRPTW) in a loading and distribution setting. We have run it with real-world data of a fruit company serving several markets. The procedure, including a genetic algorithm, has been implemented in Matlab. The results have satisfied the technical and operational constraints of the problem, yielding significantly better outcomes than the current policies of the company. Further work involves the application to larger problems and the implementation of the procedure in a dedicated computer environment.

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